



Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: March 28, 2003

Christine M. Spivey

PATENT 36856.588

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Yuichi TAKAMINE

Serial No.: 10/029,989

Filed: December 31, 2001

Title: SURFACE ACOUSTIC WAVE

DEVICE

Examiner: Unknown

Art Unit: 2834

INFORMATION DISCLOSURE STATEMENT

ASSISTANT COMMISSIONER FOR PATENTS Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, submitted herewith are copies of six (6) references cited in the enclosed Examination Report issued in a corresponding Japanese Patent Application. For the Examiner's convenience, we have enclosed an English translation of the Japanese Examination Report from the corresponding Japanese Patent Application and a completed Form PTO-1449. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign *U.S. Serial No. 10/029,989 March 28, 2003 Page 2 of 2

application not more than 3 months prior to the filing of this statement, and that this is the first citation of these prior art references by a foreign patent office in a counterpart foreign patent application. Accordingly, no fee is necessary for the filing of this statement. Should the Commissioner determine otherwise, the Commissioner is authorized to charge Deposit Account No. 50-1353 for any fee shortages, including the petition fee under 37 C.F.R. § 1.17(p).

Applicant respectfully requests that the disclosed references be made of record in the subject application.

Respectfully submitted,

Date: March 28, 2003

Attorneys for Applicant(s)

Joseph R. Keating Registration No. 37,368

Christopher A. Bennett Registration No. 46,710

KEATING & BENNETT LLP 10400 Eaton Place, Suite 312 Fairfax, VA 22030 (703) 385-5200

449 (MODIFIED) ATTY. DOCKET NO. SERIAL NO.: 10/029,989 36856.588 APPLICANT(S): Yuichi TAKAMINE LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT (USE SEVERAL SHEETS IF NECESSARY) FILING DATE: GROUP: 2834 1 of Sheet 1 December 31, 2001 U.S PATENT DOCUMENTS Filing Date Subclass if Examiner Class appropriate Initial **Document Number** Date Name AA AB \overline{AC} AD AE AF FOREIGN PATENT DOCUMENTS Filing Date Subclass if appropriate Class Document Number Date Country AG 2000-049565 A (With English 2/2000 Japan Abstract) AH 11-330898 A (With English 11/1999 Japan Abstract) AI 9-284093 10/1997 Japan AJ 10-313229 A (With English 11/1998 Japan Abstract) AK 8-046476 A (With English 2/1996 Japan Abstract) AL 2000-201049 A (With English 7/2000 Japan Abstract) AM AN A0 OTHER ART (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.) AP

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE CONSIDERED

AR

AS

AT

EXAMINER